PTO/SB/05 (2/98)
Approved for use through 09/30/2000. OMB 0651-0032
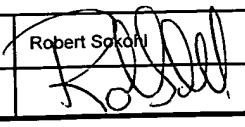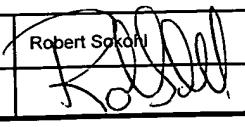Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL *(Only for new nonprovisional applications under 37 CFR § 1.53(b))*	**Attorney Docket No.** 1942.0030000
	First Inventor or Application Identifier John Bates
	Title Method and System of Allocating Storage Resources in a Storage Area Network
	Express Mail Label No.

APPLICATION ELEMENTS	ADDRESS TO:	Assistant Commissioner for Patents

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (*e.g.*, PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages __34__]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets __10__]

4. ☒ Oath or Declaration [Total Pages __2__]

　a. ☒ Newly executed (original or copy)

　b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]

　　i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

　a. ☐ Computer Readable Copy

　b. ☐ Paper Copy (identical to computer copy)

　c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))

9. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Two Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ *Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application, Status still proper and desired

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Other: 37 C.F.R. § 1.136(a)(3) Authorization

☐ Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. §1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: ____/_____

Prior application information: Examiner _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.				
	Attorneys at Law				
ADDRESS	Suite 600, 1100 New York Avenue, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20005-3934
COUNTRY	USA	TELEPHONE	(202) 371-2600	FAX	(202) 371-2540

NAME (Print/Type)	Robert Sokohl	Registration No. (Attorney/Agent)	36,013
SIGNATURE		Date	9/18/00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2000
Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	John Bates
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	1942.0030000

TOTAL AMOUNT OF PAYMENT	($)730.00

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: **19-0036**

Deposit Account Name: **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed: ☒ Check ☐ Money Order ☒ Other*
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	334	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) ($) 690.00

2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims	17 - 20** = 0	X 0	= 0
Indep. Claims	3 - 3** = 0	X 0	= 0
Multiple Dependent		=	

** or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
108	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) :

Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($) 40.00

SUBMITTED BY

		Complete (if applicable)	
Typed or Printed Name	Robert Sokohl	Reg. Number	36,013
Signature		Date 9/13/00	Deposit Acct. User ID	

WARNING:
Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1942.0030000 fee

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W., SUITE 600

WASHINGTON, D.C. 20005-3934

www.skgf.com

PHONE: (202) 371-2600   FACSIMILE: (202) 371-2540

ROBERT GREENE STERNE	STEVEN R. LUDWIG	HEIDI L. KRAUS	JEFFREY S. WEAVER	KAREN R. MARKOWICZ**
EDWARD J. KESSLER	JOHN M. COVERT*	JEFFREY R. KURIN	KRISTIN K. VIDOVICH	SUZANNE E. ZISKA**
JORGE A. GOLDSTEIN	LINDA E. ALCORN	RAYMOND MILLIEN	KENDRICK P. PATTERSON	BRIAN J. DEL BUONO**
SAMUEL L. FOX	RAZ E. FLESHNER	PATRICK D. O'BRIEN	DONALD J. FEATHERSTONE	ANDREA J. KAMAGE**
DAVID K.S. CORNWELL	ROBERT C. MILLONIG	LAWRENCE B. BUGAISKY	GRANT E. REED	NANCY J. LEITH**
ROBERT W. ESMOND	MICHAEL V. MESSINGER	CRYSTAL D. SAYLES*	VINCENT L. CAPUANO	TARJA H. NAUKKARINEN**
TRACY-GENE G. DURKIN	JUDITH U. KIM	EDWARD W. YEE	JOHN A. HARROUN*	
MICHELE A. CIMBALA	TIMOTHY J. SHEA, JR.	ALBERT L. FERRO*	MATTHEW M. CATLETT*	*BAR OTHER THAN D.C.
MICHAEL B. RAY	DONALD R. McPHAIL	DONALD R. BANOWIT	NATHAN K. KELLEY*	**REGISTERED PATENT AGENTS
ROBERT E. SOKOHL	PATRICK E. GARRETT	PETER A. JACKMAN	ALBERT J. FASULO II *	
ERIC K. STEFFE	STEPHEN G. WHITESIDE	MOLLY A. McCALL	W. BRIAN EDGE*	
MICHAEL O. LEE	JEFFREY T. HELVEY*	TERESA U. MEDLER		

September 18, 2000

WRITER'S DIRECT NUMBER:
(202) 371-2677
INTERNET ADDRESS:
RSOKOHL@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
        Appl. No. To Be Assigned; Filed: Herewith
        For: **Method and System of Allocating Storage Resources in a Storage Area Network**
        Inventors: Bates *et al.*
        Our Ref: 1942.0030000

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1.   USPTO Utility Patent Application Transmittal Form PTO/SB/05;

2.   U.S. Utility Patent Application entitled:

        Method and System of Allocating Storage Resources in a Storage Area Network

        and naming as inventor(s):

        John Bates
        Nico Vekiarides

        the application comprising:

      a.   specification containing:

          i.   _29_ pages of description prior to the claims;

          ii.   _4_ pages of claims (_17_ claims);

          iii.   a one (1) page abstract;

      b.   _10_ sheets of drawings: (Figures _1-10_);

      c.   A faxed copy of the original Declaration executed by inventors;

3.   Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3);

4.   Fee Transmittal PTO/SB/17 (in duplicate);

5.   Certificate Under 37 C.F.R. §3.73(b);

6.   Recordation Form Cover Sheet;

7.   A faxed copy of the original executed Assignment to __StorageApps, Inc.__, recordation of which is hereby respectfully requested;

8.   Power of Attorney from Assignee;

9.   Our check no. 28800 for $730.00 to cover;
               $690.00 filing fees for patent application
               $40.00 recordation of assignment; and

10.   Two (2) return postcards.

Commissioner for Patents
September 18, 2000
Page 3

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert Sokohl
Attorney for Applicants
Registration No. 36,013

RES/JSW:vcf
P:\USERS\Vfludd\1942.0030000 skgf transmittal
SKGF Rec. 5/12/00 mac